

# Pyxis Finvest Limited

## Non applicable items of disclosures as stated under Regulation 46 of the SEBI Listing Regulations, 2015

Particulars	Legal Genesis	Remarks
Notices given to shareholders by advertisement	Regulation 46(2)(q) r/w Regulation 47(1)(d)	Company being listed only on the SME Platform, provisions of Regulation 47 stated under SEBI Listing Regulations are not applicable
Issuance of Notices, call letters, resolutions and circulars sent to shareholders, debenture holders or creditors or any class of them or advertised in the media by the listed entity.	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	Except for notices of General meetings, no other notices, call letters, resolutions & circulars were sent to shareholders or creditors. Company has not issued debentures.
All credit ratings obtained by the entity for all its outstanding instruments	Regulation 46(2)(r)	No such case/ event, thus not applicable
New Rating(s) or Revision in Rating(s).	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event, thus not applicable
Outcome of Meetings of the board of directors held to consider dividends and/or cash bonuses recommended or declared or the decision to pass any dividend and the date on which dividend shall be paid/dispatched	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event since 2015, thus not applicable
Outcome of Meetings of the board of directors held to consider any cancellation of dividend with reasons thereof	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event, thus not applicable
Outcome of Meetings of the board of directors held to consider the decision on buyback of securities	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event, thus not applicable
Outcome of Meetings of the board of directors held to consider the decision with respect to fund raising proposed to be undertaken	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event post IPO, thus not applicable

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<p>Outcome of Meetings of the board of directors held to consider the decision increase in capital by issue of bonus shares through capitalization including the date on which such bonus shares shall be credited/dispatched;</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event post IPO, thus not applicable</p>
<p>Outcome of Meetings of the board of directors held to consider the decision reissue of forfeited shares or securities, or the issue of shares or securities held in reserve for future issue or the creation in any form or manner of new shares or securities or any other rights, privileges or benefits to subscribe to</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event, thus not applicable</p>
<p>Outcome of Meetings of the board of directors held to consider the decision short particulars of any other alterations of capital, including calls</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event post IPO, thus not applicable</p>
<p>Outcome of Meetings of the board of directors held to consider decision on voluntary delisting by the listed entity from stock exchange</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event, thus not applicable</p>
<p>Agreements (viz. shareholder agreement(s), joint venture agreement(s), family settlement agreement(s) (to the extent that it impacts management and control of the listed entity), agreement(s)/treaty(ies)/contract(s) with media companies) which are binding and not in normal course of business, revision(s) or amendment(s) and termination(s) thereof.</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event, thus not applicable</p>

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<p>Agreements entered into by the shareholders, promoters, promoter group entities, related parties, directors, key managerial personnel, employees of the listed entity or of its holding, subsidiary or associate company, among themselves or with the listed entity or with a third party, solely or jointly, which, either directly or indirectly or potentially or whose purpose and effect is to, impact the management or control of the listed entity or impose any restriction or create any liability upon the listed entity, including disclosure of any rescission, amendment or alteration of such agreements thereto, whether or not the listed entity is a party to such agreements:</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event other than SPA for Open Offers, thus not applicable</p>
<p>Agreements (viz. loan agreement(s) or any other agreement(s) which are binding and not in normal course of business) and revision(s) or amendment(s) or termination(s) thereof.</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para B of Part A of Schedule III</p>	<p>No such case/ event, thus not applicable</p>
<p>In case the Managing Director or Chief Executive Officer of the listed entity was indisposed or unavailable to fulfil the requirements of the role in a regular manner for more than forty five days in any rolling period of ninety days, the same along with the reasons for such indisposition or unavailability, shall be disclosed to the stock exchange(s)</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event, thus not applicable</p>
<p>Voluntary revision of financial statements or the report of the board of directors of the listed entity under section 131 of the Companies Act, 2013</p>	<p>Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III &amp; SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023</p>	<p>No such case/ event, thus not applicable</p>
<p>Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year</p>	<p>Regulation 46(2)(s) r/w 4th proviso to Section 136(1)</p>	<p>No such case/ event, thus not applicable</p>

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Details of agreements entered into with the media companies and/or their associates (not in ordinary course of business)	Regulation 46(2)(n)	No such case/ event, thus not applicable
Policy on Materiality of Related Party Transactions and on Dealing with Related Party Transactions	Regulation 46(2)(g) r/w Regulation 23	Company being listed only on the SME Platform, provisions of Regulation 23 of the SEBI Listing Regulations are not applicable
Policy for determining 'material' subsidiaries;	Regulation 46(2)(h) r/w Regulation 16	Not applicable, as there are no subsidiaries
Dividend Distribution Policy by listed entities based on market capitalization as specified in sub-regulation (1) of regulation 43A (applicable to top 1000 listed entities)	Regulation 46(2)(y) r/w Regulation 43A	Company is not in top 1000 listed entities, thus not applicable
Code of conduct of board of directors and senior management personnel;	Regulation 46(2)(d) r/w Regulation 17(5)	Company being listed only on the SME Platform, provisions of Regulation 17 stated under SEBI Listing Regulations are not applicable
Criteria of making payments to non-executive directors, if the same has not been disclosed in annual report;	Regulation 46(2)(f) r/w Regulation 17	Company being listed only on the SME Platform, these provisions of Corporate Governance stated under SEBI Listing Regulations are not applicable
Details of familiarization programmes imparted to independent directors including the following details:- (i) number of programmes attended by independent directors (during the year and on a cumulative basis till date), (ii) number of hours spent by independent directors in such programmes (during the year and on cumulative basis till date), and (iii) other relevant details	Regulation 46(2)(i) r/w Regulation 25	Company being listed only on the SME Platform, provisions of Regulation 25 stated under SEBI Listing Regulations are not applicable
Fraud or defaults by a listed entity, its promoter, director, key managerial personnel, senior management or subsidiary or arrest of key managerial personnel, senior management, promoter or director of the listed entity, whether occurred within India or abroad	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event, thus not applicable

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Action(s) initiated or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity or its directors, key managerial personnel, senior management, promoter or subsidiary	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event, thus not applicable
Action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity or its directors, key managerial personnel, senior management, promoter or subsidiary, in relation to the listed entity,	Regulation 46(2)(w) r/w Regulation 30 Para A of Part A of Schedule III & SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13-Jul-2023	No such case/ event, thus not applicable
Schedule of analysts or institutional investors meet and presentations made by the listed entity to analysts or institutional investors.	Regulation 46(2)(o)	No such case/ event, thus not applicable
Audio or video recordings and transcripts of post earnings/quarterly calls, by whatever name called, conducted physically or through digital means, simultaneously with submission to the recognized stock exchange	Regulation 46(2)(oa)	No such case/ event, thus not applicable
New name and the old name of the listed entity for a continuous period of one year, from the date of the last name change;	Regulation 46(2)(p)	No name change in last 1 year, thus not applicable
Secretarial compliance report as per sub-regulation (2) of regulation 24A	Regulation 46(2)(t) r/w Regulation 24A	Company being listed only on the SME Platform, provisions of Regulation 24A stated under SEBI Listing Regulations are not applicable
Statements of deviation(s) or variation(s) as specified in regulation 32	Regulation 46(2)(x) r/w Regulation 32	No such case/ event, thus not applicable

**For Pyxis Finvest Limited**

**Sd/-**

**Uttam Bharat Bagri**  
**Managing Director**  
**DIN: 01379841**